



Privacy Policy

At Nabity Business Advisors, our customers are our highest priority. As providers of financial products and services that involve the collection of personal – and often sensitive – information, protecting the confidentiality of that information has been, and will continue to be, a top priority for Nabity Business Advisors. Due to the sensitive nature of this personal information, Nabity Business Advisors believes that you should know how your information is handled, the measures we have taken to safeguard that information and the situations in which we might share your information with select business partners. Our privacy promise to you is based upon the basic principles of trust, ethics and integrity.

When you deal with Nabity Business Advisors, certain personal and financial information is collected from you. Nabity Business Advisors uses this information to serve your financial needs and to fulfill legal and regulatory requirements. The information gathered for these purposes varies depending on the products or services that you request but may include, for example, your name, address, social security number, net worth, annual income and certain medical information.

For both current and former customers, Nabity Business Advisors restricts access to your personal and financial information to those instances described below:

- *Individuals:* Your personal and financial information will be provided to those employees, registered representatives, their assistants and those who process information or the transactions of Nabity Business Advisors in connection with the products or services being provided to you.
- *To companies with which Nabity Business Advisors has selling agreements.* Nabity Business Advisors will share your personal and financial information with other financial services entities, such as insurance companies and mutual fund companies, in order to effect transactions which you have requested or authorized. In such cases, those companies are prohibited, by agreement, from using information about you except for the narrow purpose for which it was given to them.
- *As authorized by you.* Only upon your direction or with your permission will Nabity Business Advisors share your information with a third party other than as described in this notice. Nabity Business Advisors will not share your nonpublic information with any person or company that does not agree to keep your information confidential.